

Supplementary Information Sheet on Regional Aid

This supplementary information sheet must be used for the notification of any aid scheme or individual aid covered by the Regulation on regional aid.

However, the present Annex cannot be used for the particular purpose of notification of new regional aid maps. As stated in the guidelines, regional aid is a specific form of aid since it is reserved for particular regions. It is designed to develop the less favoured regions by supporting investment and job creation in a sustainable context.

1. Type of Scheme or Individual Aid

The scheme or the individual aid relates to:

- 1.1. ☐ initial investment
- ☐ the aid is calculated as a percentage of the investment's value
- ☐ the aid is calculated as a percentage of the wage costs of the persons hired
- 1.2. ☐ operating aid
- 1.3. ☐ both
- 1.4. The aid is granted:
- ☐ automatically, should the conditions of the scheme be fulfilled
- ☐ discretionary, following a decision of the authorities

Should the aid be granted on a case by case basis, please provide a short description of the criteria followed and attach a copy of the administrative provisions applicable for the awarding of aid:

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1.5. Does the aid respect the regional ceilings of the regional aid map applicable at the time of awarding the aid?

☐ yes ☐ no

Does the scheme include a reference to the regional aid maps applicable?

☐ yes ☐ no

If yes, please specify:

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2. Initial Investment Aid

2.1. Does the scheme cover investment in fixed capital or job creation relating to:

- ☐ the setting-up of a new establishment ?
- ☐ the extension of an existing establishment ?
- ☐ the starting-up of a new activity involving a fundamental change in the product or production process of an existing establishment (through rationalisation, diversification or modernisation) ?
- ☐ the purchase of an establishment which has closed or which would have closed had it not been purchased ?

2.2. Does the aid include a clause stipulating that the recipient has made a minimum contribution of at least 25% of the total investment and that this contribution will be exempted of any aid?

- ☐ yes ☐ no

2.3. Does the aid provide that any application for aid must be submitted before work is started on the projects?

- ☐ yes ☐ no

If any of the points above are not fulfilled, please explain why and how the authorities intend to comply with these necessary conditions:

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2.4. Does the scheme define the aid in terms of intensity calculated as a percentage of the standard reference cost?

- ☐ yes ☐ no

Is the aid subject to taxes?

- ☐ yes ☐ no

What are the intensities expressed in gross terms?

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What are the parameters enabling calculation of aid intensities?

2.5. Non-repayable loans

Are there depreciation arrangements in force?

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Tax rate reduction on benefits of the firm:

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2.6. Low-interest loans and interest rebate:

Maximum amount of the rebate :

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Maximum period of the loan:

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Maximum proportion:

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Maximum length of the grace period:

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In the case of low-interest loans, please specify the minimum interest rate:

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In case of a state-loan:

– Is it covered by ordinary securities?

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– What is the expected default rate?

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– Is the reference rate being increased in situations involving a particular risk?

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2.7. Guarantee schemes:

Please indicate the types of loans for which guarantees may be granted and specification of the fees (cf. previous point):

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What is the expected default rate?

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Please submit information allowing the aid intensity of guarantees to be calculated, including duration, proportion and amount :

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2.8. Is replacement investment excluded from the scheme?

☐ yes ☐ no

In case it is not, the authorities are requested to fill in the part on operating aid.

2.9. Is assistance for firms in difficulty and/or for the financial restructuring of firms in difficulty excluded from the scheme?

☐ yes ☐ no

Should this question be answered in the negative, will aid to investment granted to a large enterprise during the restructuring period, be notified individually?

☐ yes ☐ no

2.10. Does the eligible expenditure under the scheme relate to:

2.11. Tangible investment:

The value of the investment is established as a percentage on the basis of:

- ☐ land
- ☐ buildings
- ☐ plant/machinery (equipment)?

Please provide a short description:

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In the context of purchasing an establishment of the type, for which the investment aid is intended, are there any guarantees that the establishment concerned does not belong to a firm in difficulty ?

☐ yes ☐ no

Are there enough guarantees that any aid awarded in the past for the acquisition of assets has been taken into account/deducted prior to the purchase?

☐ yes ☐ no

Are there enough guarantees that the transactions would take place under market conditions?

☐ yes ☐ no

Should one of the three previous questions be answered in the negative, please explain how the authorities intend to comply with the necessary conditions:

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2.12. Intangible investment:

The value of the investment is established on the basis of expenditure entailed by the transfer of technology through the acquisition of:

- ☐ patents
- ☐ operating or patented know-how licences
- ☐ unpatented know-how

Please provide a short description:

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Does the scheme include a clause stipulating that the expenditure on eligible intangible investment must not exceed 25% of the standard base in the case of large firms?

☐ yes ☐ no

If not, explain why and how the authorities intend to respect this requirement:

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In the case of large firms, does the scheme provide for guarantees that eligible assets:

- ☐ will be used exclusively in the establishment receiving the aid?
- ☐ must be regarded as amortisable assets?
- ☐ are purchased from third parties under market conditions?

Should one of these conditions not be explicitly reflected in the scheme, please explain the reasons, as well as how the authorities intend to ensure that the eligible intangible assets will remain associated within the recipient region and will not be subject to a transfer benefiting other regions:

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What are the guarantees that aid for initial investment (both material and intangible investment) is made conditional on the maintenance of the investment for a minimum period of five years?:

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2.16. In case that aid to initial investment is linked to aid to employment, can the authorities provide guarantees that the cumulation rules would be respected?

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2.17. Aid to job creation linked to initial investment

2.18. Does the measure provide for guarantees that the aid for job creation is linked to the carrying-out of an initial investment project?

☐ yes ☐ no

Does the measure provide for guarantees that the jobs will be created within three years of the investment completion?

☐ yes ☐ no

Should one of the previous questions be answered in the negative, please explain how the authorities intend to comply with these requirements:

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In case that the investment does not relate to the setting up of a new establishment, please explain the reference period for calculating the number of jobs created:

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2.19. Does the measure provide for guarantees that job creation means a net increase in the number of jobs in a particular establishment compared with the average over a period of time?

☐ yes ☐ no

Does the measure provide for guarantees that the jobs lost during the period of reference are being deducted from the apparent number of jobs created during the same period?

☐ yes ☐ no

Should one of the above points be answered in the negative, please explain how the authorities intend to comply with these requirements:

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2.20. Is the aid calculated on the basis of :

- ☐ a percentage of the wage cost per job created ?
- ☐ a flat-rate amount per job created ?
- ☐ other (e.g. a progressive rate per job created). Please specify:

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Please explain the parameters used in order to calculate the aid intensity:

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2.21. Does the measure provide for guarantees that the employment created will be maintained for a minimum period of five years?:

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3. Operating Aid

3.1.What is the direct link between the awarding of operating aid and the contribution to regional development?

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3.2.What are the structural handicaps that the operating aid is seeking to redress?

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3.3. Which are the guarantees that the nature and the level of the aid are proportional to the handicaps it seeks to alleviate?

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3.4. What arrangements have been made to ensure that the aid is progressively reduced and limited in time? :

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4. Specific Questions relating to the Ultrapheric Regions or to Regions with Low Population Density

4.1. Should aid not be progressively reduced and not be limited in time, please specify whether the following conditions are met:

4.1.1. Does the aid benefit an outermost region or a region with low population density?

☐ yes ☐ no

4.1.2. Is this aid intended to offset in part additional transport costs?

☐ yes ☐ no

Please provide proof of the existence of these additional costs and the method of calculation used to determine their amount:

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Indicate what will be the maximum amount of aid (on the basis of an aid-per-kilometre ratio or on the basis of an aid-per-kilometre and aid-per-unit-weight ratio) and the percentage of the additional costs covered by the aid :

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4.1.3. Is the aid intended to offset the additional costs arising in the pursuit of economic activity?

☐ yes ☐ no

Please determine the amount of the additional cost and the method of calculation:

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5. Scope of the Scheme

5.1. Does the scheme apply to the transport sector ?

☐ yes ☐ no

Should this question be answered in the positive, is there a provision in the scheme stating that transport equipment (movable assets) are excluded from the eligible investment expenditure?

☐ yes ☐ no

6. Respect of the Relevant Provisions in force of Sectoral and Multisectoral Frameworks

6.1. Does the scheme respect the specific provisions, such as the prohibition to grant aid to the steel sector and/or synthetic fibres?

☐ yes ☐ no

6.2. Concerning aid awarded after 1 January 2003: Does the scheme provide for respect of individual notification obligations foreseen in the Multisectoral Regulation?

☐ yes ☐ no

6.3. Concerning aid awarded before 1 January 2004: does the scheme include a provision stating that any aid for large investment projects will be notified individually?

☐ yes ☐ no

Should one of these questions be answered in the negative, please explain how the authorities intend to comply with the necessary conditions:

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7. Other Information

Please indicate here any other information you consider relevant to the assessment of the measure(s) concerned under the Regulation on regional aid, published in the Romanian Official Gazette, Part I, no. 340 of 19.04.2004, with subsequent amendments and completions.