

ANNEX 4

NOTIFICATION FORM

SECTION 1 — MEMBER STATE

- 1.1. Information on notifying public authority:
 - 1.1.1. Name and address of notifying authority.
 - 1.1.2. Name, telephone, fax and e-mail address of, and position held by, the person(s) to be contacted in case of further inquiry.
- 1.2. Information of contact in permanent representation:
 - 1.2.1. Name, telephone, fax and e-mail address of, and position held by, the person to be contacted in case of further inquiry.

SECTION 2 — AID RECIPIENT

- 2.1. Structure of the company or companies investing in the project:
 - 2.1.1. Identity of aid recipient.
 - 2.1.2. If the legal identity of the aid recipient is different from the undertaking(s) that finance(s) the project or that receive(s) the aid, describe also these differences.
 - 2.1.3. Identify the parent group of the aid recipient, describe the group structure and ownership structure of each parent company.
- 2.2. For a company or companies investing in the project, provide the following data for the last three financial years:
 - 2.2.1. Worldwide turnover, EEA turnover, turnover in Member State concerned.
 - 2.2.2. Profit after tax and cash flow (on a consolidated basis).
 - 2.2.3. Employment worldwide, at EEA level and in Member State concerned.
 - 2.2.4. Market breakdown of sales in the Member State concerned, in the rest of the EEA and outside the EEA.
 - 2.2.5. Audited financial statements and annual report for the last three years.
- 2.3. If the investment takes place in an existing industrial location, provide the following data for the last three financial years of that entity:
 - 2.3.1. Total turnover.
 - 2.3.2. Profit after tax and cash flow.
 - 2.3.3. Employment.
 - 2.3.4. Market breakdown of sales: in the Member State concerned, in the rest of the EEA and outside the EEA.

SECTION 3 — PROVISION OF PUBLIC ASSISTANCE

For each measure of proposed public assistance, provide the following:

- 3.1. Details:
 - 3.1.1. Scheme title (or indicate if it is an ad-hoc aid).
 - 3.1.2. Legal basis (law, decree, etc.).
 - 3.1.3. Public entity providing the assistance.
 - 3.1.4. If the legal basis is an aid scheme approved by the Commission, provide the date of the approval and the State aid case reference number.

- 3.2. Form of the proposed assistance:
 - 3.2.1. Is the proposed assistance a grant, interest subsidy, reduction in social security contributions, tax credit (relief), equity participation, debt conversion or write off, soft loan, deferred tax provision, amount covered by a guarantee scheme, etc.?
 - 3.2.2. Provide the conditions attached to the payment of the proposed assistance.
- 3.3. Amount of the proposed assistance:
 - 3.3.1. Nominal amount of support and its gross and net grant equivalent.
 - 3.3.2. Is the assistance measure subject to corporate tax (or other direct taxation)? If only partially, to what extent?
 - 3.3.3. Provide a complete schedule of the payment of the proposed assistance. For the package of proposed public, assistance, provide the following:
- 3.4. The characteristics of the assistance measures:
 - 3.4.1. Are any of the assistance measures of the overall package not yet defined? If yes, specify.
 - 3.4.2. Indicate which of the above-mentioned measures does not constitute State aid and for what reason(s).
- 3.5. Financing from Community sources (EIB, ECSC instruments, Social Fund, Regional Fund, other):
 - 3.5.1. Are some of the above mentioned measures to be co-financed by Community funds? Explain.
 - 3.5.2. Is some additional support for the same project to be requested from any other European or international financing institutions? If so, for what amounts?
- 3.6. Cumulation of public assistance measures:
 - 3.6.1. Estimated gross grant equivalent (before taxation) of the combined aid measures.
 - 3.6.2. Estimated net grant equivalent (after taxation) of the combined aid measures.

SECTION 4 — ASSISTED PROJECT

- 4.1. Location of the project:
 - 4.1.1. Specify the region and the municipality as well as the address.
- 4.2. Duration of the project:
 - 4.2.1. Specify the start date of the investment project as well as the completion date of the investment.
 - 4.2.2. Specify the planned start date of the new production and the year by which full production may be reached.
- 4.3. Description of the project:
 - 4.3.1. Specify the type of the project and whether it is a new establishment or a capacity expansion or other.
 - 4.3.2. Provide a short general description of the project.
- 4.4. Breakdown of the project costs:
 - 4.4.1. Specify the total cost of capital expenditure to be invested and depreciated over the lifetime of the project.

4.4.2. Provide a detailed breakdown of the capital and non-capital (2) expenditure associated with the investment project.

4.5. Financing of total project costs:

4.5.1. Indicate the financing of the total cost of the investment project.

SECTION 5 — PRODUCT AND MARKET CHARACTERISTICS

5.1. Characterisation of product(s) envisaged by the project:

5.1.1. Specify the product(s) that will be produced in the aided facility upon the completion of the investment and the relevant (sub-)sector(s) to which the product(s) belong(s) (indicate the Prodcom code or CPA nomenclature for projects in the service sectors).

5.1.2. What product(s) will it replace? If these replaced products are not produced at the same location, indicate where they are currently produced.

5.1.3. What other product(s) can be produced with the same new facilities at little or no additional cost?

5.2. Capacity considerations:

5.2.1. Quantify the impact of the project on the aid recipient's total viable capacity in the EEA (including at group level) for each of the product(s) concerned (in units per year in the year preceding the start year and on completion of the project).

5.2.2. Provide an estimate of the total capacity of all EEA producers for each of the products concerned.

5.3. Market data:

5.3.1. Provide for each of the last six financial years data on apparent consumption of the product(s) concerned. If available, include statistics prepared by other sources to illustrate the answer.

5.3.2. Provide for the next three financial years a forecast of the evolution of apparent consumption of the product(s) concerned. If available, include statistics prepared by independent sources to illustrate the answer.

5.3.3. Is the relevant market in decline and for what reasons?

5.3.4. An estimate of the market shares (in value) of the aid recipient or of the group to which the aid recipient belongs in the year preceding the start year and on completion of the project.